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60709-00016

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 11 2001

Technology Center 2600

Applicant: Peterson et al.

Serial No.: 09/705,110

Filed: November 2, 2000

For: WEB-BASED SYSTEM FOR MANAGING
SOFTWARE ASSETS

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: Art Unit: 2681
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: Examiner:
:
:
:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on _____, 2001.

John S. Beulick, Reg. No. 33,338

STATEMENT OF ADDED INVENTOR UNDER 37 C.F.R. § 1.48(a)

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

This statement under 37 C.F.R. § 1.48(a) is submitted to add the undersigned, Christopher L. Stanard, as an additional inventor in Patent Application Serial No. 09/705,110, filed November 2, 2000. This inventorship error occurred without deceptive intent on my part.

August 17, 2001
Date

Christopher L. Stanard
CHRISTOPHER L. STANARD



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John S. Beulick, Reg. No. 33,338

DECLARATION OF INVENTORS UNDER 37 C.F.R. § 1.48(a)

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

This declaration under 37 C.F.R. § 1.48(a) is submitted to add Christopher L. Stanard as an additional inventor in Patent Application Serial No. 09/705,110, filed November 2, 2000.

This inventorship error occurred without deceptive intent on our part.

Sept. 5, 2001
Date

Charlotte G. Peterson
CHARLOTTE G. PETERSON

Sept. 27, 2001
Date

Sada Reddy
SADA REDDY

Aug 22nd, 2001
Date

Lisa Demko
LISA DEMKO

Aug 21st, 2001
Date

August 23, 2001
Date

Aug 31, 2001
Date

Sept. 5, 2001
Date

Jeannie M Pumphrey
JEANNIE PUMPHREY

Douglas R. Kornish
DOUGLAS R. KORNISH

Harsha M. Hatti
HARSHA HATTI

Kim Giancaspro
KIM GIANCASPRO



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OCT 10 2001

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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OCT 11 2001

Technology Center 2600

Applicant: Peterson et al.

Serial No.: 09/705,110

Filed: November 2, 2000

For: WEB-BASED SYSTEM FOR MANAGING
SOFTWARE ASSETS

:
: Art Unit: 2681

:
: Examiner:

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on _____, 2001.

John S. Beulick, Reg. No. 33,338

Hon. Assistant Commissioner for Patents
Washington, D.C. 20231

ASSENT OF ASSIGNEE TO ADD INVENTORS UNDER 37 C.F.R. § 1.48(a)

1. The Assignee of Patent Application Serial No. 09/705,110, filed November 2, 2000 is General Electric Capital Corporation, 260 Long Ridge Rd., Stamford, CT 06927.

2. This Assent of Assignee to Add Inventors is submitted pursuant to 37 C.F.R. § 1.48(a) by General Electric Capital Corporation (the "Assignee").

3. The Assignee assents to adding Christopher L. Stanard as an additional inventor in Patent Application Serial No. 09/705,110, filed November 2, 2000.

4. Attached hereto is a Form PTO 1595 showing that Patent Application Serial No. 09/705,110, filed November 2, 2000 was assigned to the Assignee.

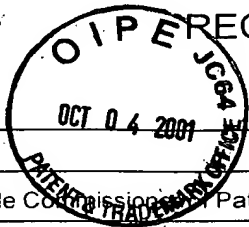
5. The undersigned certifies that he or she has the authority to execute this Assent of Assignee to Add Inventors under 37 C.F.R. § 1.48(a) on behalf of the Assignee, General Electric Capital Corporation.

August 17, 2001
Date

Cecilia O. Hofters
GENERAL ELECTRIC CAPITAL CORPORATION

Name: Cecilia O. Hofters

Title: Vice President and Senior IP Counsel



RECORDATION FORM COVER SHEET

PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab settings

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Charlotte G. Peterson, Sada Reddy, Lisa Demko, Jeannie Pumphrey, Douglas R. Kornish, Harsha Hatti, Kim Giancaspro

Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 8/1/01; 6/19/01; 5/22/01; 6/29/01; 7/10/01; 7/25/01; and 8/1/01 respectively

2. Name and address of receiving party(ies)

Name: General Electric Capital Corporation

Internal Address:

Street Address: 260 Long Ridge Road

City: Stamford State: CT ZIP: 06927

Additional name(s) & address(es) attached? ☐ Yes ☒ No

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OCT 10 2001
TC 2600 MAIL ROOM

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

09/705,110 filed on November 2, 2000

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John S. Beulick

Internal Address: Armstrong Teasdale LLP

Street Address: One Metropolitan Sq. Suite 2600

City: St. Louis State: MO ZIP: 63102

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41).....\$ 40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

01-2384

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John S. Beulick
Name of Person Signing

Signature

August 3, 2001
Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

ASSIGNMENT

Attorney's Docket No.

60709-00016

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, **CHARLOTTE G. PETERSON**, of **21 PASTURE LANE, DARIEN, CT 06820**, **SADA REDDY**, of **2 LANCASTER DRIVE, SUFFERN, NY 10901**, **LISA DEMKO**, of **158 SUN DANCE ROAD, STAMFORD, CT 06905**, **JEANNIE PUMPHREY**, of **60 LEAVENWORTH ROAD, WOODBURY, CT 06798**, **DOUGLAS R. KORNISH**, of **3534 BROOSTONE DRIVE, APT. C, CINCINNATI, OH 45209**, **HARSHA HATTI**, of **1295 MEDFORD ROAD, PASADENA, CA 91107**, and **KIM GIANCASPRO**, of **55 VALLEY VIEW ROAD, NORWALK, CT 06851**, sell and assign to General Electric Capital Corporation, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in **WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS** invented by us, as described in the application for the United States patent filed **NOVEMBER 2, 2000**, S.N. **09/705,110**, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Capital Corporation, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

August 1, 2001

Charlotte G. Peterson
CHARLOTTE G. PETERSON

June 19, 2001

Sada Reddy
SADA REDDY

May 22, 2001

Lisa Demko
LISA DEMKO

June 29th, 2001

Jeannie M. Pumphrey
JEANNIE PUMPHREY

July 10, 2001

Douglas R. Kornish
DOUGLAS R. KORNISH

July 25, 2001

Harsha Hatti
HARSHA HATTI

August 1, 2001

Kim Giancaspro
KIM GIANCASPRO

Subscribed and sworn to before me
this 22 day of May, 2001

Sally Hargrave
Notary Public

My Commission Expires May 30, 2004

ASSIGNMENT	Attorney's Docket No. 60709-00016
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STATE OF Connecticut)
) ss.
County of Fairfield)

This 1 day of August, 2001, before me personally came the above-named **CHARLOTTE G. PETERSON**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Charlotte G. Peterson

STATE OF Connecticut)
) ss.
County of Fairfield)

Stacey A. Frangione
STACEY A. FRANGIONE
NOTARY PUBLIC
MY COMMISSION EXPIRES NOV. 30, 2004

This 19 day of June, 2001, before me personally came the above-named **SADA REDDY**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Sada Reddy

STATE OF Connecticut)
) ss.
County of Fairfield)

This 22 day of May, 2001, before me personally came the above-named **LISA DEMKO**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Lisa Demko

STATE OF Connecticut)
) ss.
County of Fairfield)

Stacey A. Frangione
STACEY A. FRANGIONE
NOTARY PUBLIC
MY COMMISSION EXPIRES NOV. 30, 2004

This 29th day of June, 2001, before me personally came the above-named **JEANNIE PUMPHREY**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Anna Marie Murthy

ANNA MARIE MURTHY
NOTARY PUBLIC
MY COMMISSION EXPIRES APR. 30, 2005

ASSIGNMENT	Attorney's Docket No. 60709-00016
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STATE OF Ohio)
) ss.
 County of Hamilton)

This 10th day of JULY, 2001, before me personally came the above-named **DOUGLAS R. KORNISH**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

[Signature]

MARK G. WHITTENBURG, Attorney at Law
 Notary Public, State of Ohio
 My Commission Has No Expiration Date
 Section 147.02

STATE OF Alabama)
) ss.
 County of Jefferson)

This 25th day of July, 2001, before me personally came the above-named **HARSHA HATTI**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

[Signature] Barbara E. Smith exp 07/16/01

STATE OF Connecticut)
) ss.
 County of Fairfield)

This 1 day of August, 2001, before me personally came the above-named **KIM GIANCASPRO**, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Kim Giancaspro

[Signature]
STACEY A. FRANGIONE
NOTARY PUBLIC
 MY COMMISSION EXPIRES NOV. 30, 2004

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.
60709-00016

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS**, the specification of which:

(check one) ☐ is attached hereto

☒ was filed on November 2, 2000 as Application Serial No. 09/705,110,
and was amended on January 17, 2001.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

I hereby claim priority benefits under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
_____	_____	_____
_____	_____	_____
_____	_____	_____

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (*list name and registration number*)

Customer Number 27962; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; Joseph A. Lanahan, Reg. No. 47,732; Daniel M. Fitzgerald, Reg. No. 38,880; and Gordon F. Sieckmann, Reg. No. 28,667; all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

Send Correspondence to:

John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102-2740

Direct Telephone Calls To:

John S. Beulick
314/621-5070

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.

60709-00016

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: CHARLOTTE G. PETERSONSignature: Charlotte G. Peterson Date: Sept. 5, 2001Residence: Darien, CT 06820Citizenship: USAPost Office Address: 21 Pasture Lane, Darien, CT 06820

SECOND JOINT INVENTOR, IF ANY:

Full Name: SADA REDDYSignature: Sada Reddy Date: Sept. 27, 2001Residence: Suffern, NY 10901Citizenship: USAPost Office Address: 2 Lancaster Drive Suffern, NY 10901

THIRD INVENTOR, IF ANY:

Full Name: LISA DEMKOSignature: Lisa Demko Date: Aug 22, 2001Residence: Stamford, CT 06905Citizenship: USAPost Office Address: 158 Sun Dance Road, Stamford, CT 06905

FOURTH JOINT INVENTOR, IF ANY:

Full Name: JEANNIE PUMPHREYSignature: Jeannie M Pumphrey Date: Aug 21st, 2001Residence: Woodbury, CT 06798Citizenship: USAPost Office Address: 60 Leavenworth Road, Woodbury, CT 06798

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.
60709-00016

FIFTH JOINT INVENTOR, IF ANY:

Full Name: DOUGLAS R. KORNISH

Signature: Douglas R. Kornish

Date: August 23, 2001

Residence: Cincinnati, OH 45209

Citizenship: USA

Post Office Address: 3534 Brookstone Drive, Apartment C, Cincinnati, OH 45209

SIXTH JOINT INVENTOR, IF ANY:

Full Name: HARSHA HATTI

Signature: Harsha Hatti

Date: Aug -31-01

Residence: Pasadena, CA 91107

Citizenship: USA

Post Office Address: 1295 Medford Road, Pasadena, CA 91107

SEVENTH JOINT INVENTOR, IF ANY:

Full Name: KIM GIANCASPRO

Signature: Kim Giancaspro

Date: Sept -5-01

Residence: Norwalk, CT 06851

Citizenship: USA

Post Office Address: 55 Valley View Road, Norwalk, CT 06851

EIGHTH JOINT INVENTOR, IF ANY:

Full Name: CHRISTOPHER L. STANARD

Signature: _____

Date: _____

Residence: Niskayuna, NY 12309

Citizenship: USA

Post Office Address: 1197 Hillside Avenue, Apt. A22, Niskayuna, NY 12309

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.
60709-00016

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS**, the specification of which:

(check one) ☐ is attached hereto
☒ was filed on November 2, 2000 as Application Serial No. 09/705,110,
and was amended on January 17, 2001.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations §1.56(a).

I hereby claim priority benefits under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112. I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
_____	_____	_____
_____	_____	_____
_____	_____	_____

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Customer Number 27962; John S. Beulick, Reg. No. 33,338; Patrick W. Rasche, Reg. No. 37,916; Michael Tersillo, Reg. No. 42,180; Bruce T. Atkins, Reg. No. 43,476; Robert B. Reeser III, Reg. No. 45,548; Thomas M. Fisher, Reg. No. 47,564; Joseph A. Lanahan, Reg. No. 47,732; Daniel M. Fitzgerald, Reg. No. 38,880; and Gordon F. Sieckmann, Reg. No. 28,667; all of Armstrong Teasdale, One Metropolitan Square, Suite 2600, St. Louis, MO 63102-2740

Send Correspondence to:

John S. Beulick
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102-2740

Direct Telephone Calls To:

John S. Beulick
314/621-5070

DECLARATION AND POWER OF ATTORNEY	Attorney's Docket No. 60709-00016
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full Name: CHARLOTTE G. PETERSON

Signature: _____ Date: _____

Residence: Darien, CT 06820

Citizenship: USA

Post Office Address: 21 Pasture Lane, Darien, CT 06820

SECOND JOINT INVENTOR, IF ANY:

Full Name: SADA REDDY

Signature: _____ Date: _____

Residence: Suffern, NY 10901

Citizenship: USA

Post Office Address: 2 Lancaster Drive Suffern, NY 10901

THIRD INVENTOR, IF ANY:

Full Name: LISA DEMKO

Signature: _____ Date: _____

Residence: Stamford, CT 06905

Citizenship: USA

Post Office Address: 158 Sun Dance Road, Stamford, CT 06905

FOURTH JOINT INVENTOR, IF ANY:

Full Name: JEANNIE PUMPHREY

Signature: _____ Date: _____

Residence: Woodbury, CT 06798

Citizenship: USA

Post Office Address: 60 Leavenworth Road, Woodbury, CT 06798

DECLARATION AND POWER OF ATTORNEY

Attorney's Docket No.
60709-00016

FIFTH JOINT INVENTOR, IF ANY:

Full Name: DOUGLAS R. KORNISH

Signature: _____

Date: _____

Residence: Cincinnati, OH 45209

Citizenship: USA

Post Office Address: 3534 Brookstone Drive, Apartment C, Cincinnati, OH 45209

SIXTH JOINT INVENTOR, IF ANY:

Full Name: HARSHA HATTI

Signature: _____

Date: _____

Residence: Pasadena, CA 91107

Citizenship: USA

Post Office Address: 1295 Medford Road, Pasadena, CA 91107

SEVENTH JOINT INVENTOR, IF ANY:

Full Name: KIM GIANCASPRO

Signature: _____

Date: _____

Residence: Norwalk, CT 06851

Citizenship: USA

Post Office Address: 55 Valley View Road, Norwalk, CT 06851

EIGHTH JOINT INVENTOR, IF ANY:

Full Name: CHRISTOPHER L. STANARD

Signature: Christopher L. Stanard

Date: August 17, 2001

Residence: Niskayuna, NY 12309

Citizenship: USA

Post Office Address: 1197 Hillside Avenue, Apt. A22, Niskayuna, NY 12309